

# COMPLIANCE MANAGEMENT

## ABOUT US

Finarya is a smart technology platform for end-to-end Integrated Governance, Risk and Compliance. Over the last few years, industries across the board are witnessing fast paced and wide-spread digitization, heightened focus around remote working, stricter regulatory environment and rapidly growing size and complexity of global financial system. These issues underscore the significance of strengthening governance standards around Operational Risk, Compliance Risk, Financial Reporting Risk, Reputation Risk & Governance.

Our product, **“Ethical”**, is designed with a mission to enhance the level of governance and empower organizations to promote transparency in managing the stakeholders for ushering an era to **Reimagine Governance**. **Ethical** platform provides smart configurable dashboarding & reporting capability to review performance metrics across the organization.

## COMPLIANCE MANAGEMENT

Compliance Management Module will help analyze regulatory risk, perform the compliance testing at periodic intervals, monitoring Key Compliance Indicators [KCI], manage reporting of regulatory observations within the organization and obtain responses from the respective stakeholders. Our software has a module to manage the ad hoc Action Taken Requests [ATR] received from the Senior Management, Board Committees, Regulators, or the Statutory Auditor on an on-going basis.

## KEY FEATURES

- Integrated GRC Platform
- Enhanced UI/UX experience and ease of use
- Functionality to plan and schedule periodic compliance testing
- Robust platform for tracking RCMs
- Efficient workflows to manage the interaction between assessed & compliance manager.
- Notification & escalation functionality to track timely compliance with regulation.
- Quality dashboarding capability to manage reporting across the organization.

## BENEFITS

- Centralized repository of regulations for managing the compliance program
- Enables business and operations to manage regulatory expectations.
- Strong compliance library
- Ease of use with enhanced UI/UX
- Strong usage of tech to create better visualization for decision making.

**FULL-SERVICE PARTNERSHIP** Finarya partners with Scadea Software Solutions to implement its products and provide specialized technology services and solutions across the globe.